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Filing ID: 6076532 (Please retain this number for further inquiries regarding this form)

Submitted Date: Tue Apr 12 21:01:56 EDT 2022

FINRA Regulatory Tip Form

All fields marked with * are mandatory.

Tell us about your Tip

Security Name Security Symbol

Security Type

Date and/or Period of Activity

From Date * (MM/DD/YYYY) To Date * (MM/DD/YYYY)

Activity is ongoing

Type of misconduct or violation

What type of violation(s) are you Reporting?

Primary Violation *

Amount in Dispute

Tip Summary

Please provide a summary of your tip. Summary is limited to 3800 characters. *

Do not provide personal confidential information such as financial account numbers, Social Security numbers, or driver's license information. staff only when and if needed, and at the appropriate time. Also, please indicate the type of relevant supporting documentation currently in your possession (e.g., Canceled Checks, Correspondence to and from Firm, Advertising or Marketing Materials, etc.).

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> LOAN IS ANNEXED IN THE DOCKET AVOIDED TO: NYSCEF 153974/2020 > H-UNCOLLECTIBLE ITEMS OF DEPOSIT IS ANNEXED IN NYSCEF
https://www.sec.gov/Archives/edgar/data/0000093715/000009371520000003/aft2020.txt #####https://brokercheck.finra.org/individual/summary/2992788
HOUSTON ##### CRD NUMBER: 2992788##### STATES REGISTERED: Illinois##### POSITION: SR. VICE PRESIDENT
##### 1.A. PRESENT AFFILIATIONS / OUTSIDE BUSINESSES DISCLOSED:###
INSURANCE COMPANY [ NON-INVESTMENT RELATED ] D. 2011-PRESENT, STATE FARM LLOYDS, INC [ NON-INVESTMENT RELATED ]*** DIRE
INVESTMENT MANAGEMENT CORP.[ INVESTMENT RELATED ] #####https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf##### ##
MANAGEMENT CORP [ INVESTMENT RELATED ]##### ** SENIOR VICE PRESIDENT AND DIRECTOR1.B. AIDED AND ABETTED SULLIVAN PF
COUNSELORS.#####[RECEIVED NYSCEF: 08/11/2020]#####STIPULATION TO AMEND CAPTION AND ADD "STATE FARM REALTY MORGTA
"STATE FARM LIFE INSURANCE COMPANY"#####AN OUTSIDE BUSINESS DISCLOSED BY: HARBERT, RANDALL HOUSTON, AS A DIRECTOR
#####https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=Xjn0/e1NcBADqRc_PLUS_g11P4g==#####<<<< **** EXECUT
not disclose the nyscef 153974/2020 MATTER AS THE UNREGISTERED SECURITY WAS ANNEXED THEREIN. ###https://reports.adviserinfo.sec.gov
THEM ON JUNE 3, 2020 ### https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVIHKtnJU/ni/zvgv==##### ALSO $ 10,8
>>>https://reports.adviserinfo.sec.gov/reports/ADV/3487/PDF/3487.pdf <<<< 3/30/2022 11:58:22 AM = THEY TOOK ADDITIONAL SUBSCRIPTIONS W
& POP TO MAKE AN "INFORMED INVESTMENT" BOND PERIOD: from 12:01 a.m. on January 1, 2022 to 12:01 a.m. on January 1, 2023 --- not covere
USING THE 11-30-2021 AS PER THE EDGAR FILED LAST on 2021-11-30 ### WHEREBY PARTIES WERE AND ARE DIRECTORS... INCLUDING MI
https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml#####001357149 Joe R M
[LUDWIG, COMPLIANCE AND FINANCE] KNOWS THEY ARE NOT COVERED.... ###https://www.sec.gov/Archives/edgar/data/0001516523/00011931
not either
#####>>>>https://www.sec.gov/Archives/edgar/data/0001516523/000119312522025502/d207567d4017g.htm<<<<#####https://www.sec.gov/Archives/ed
CEN_X01/primary_doc.xml##### #pomoters## https://user-images.githubusercontent.com/70865813/162646071-dd7a9e1c-6cbd-471c-9794-902fd157
on Saturday, November 13, 2021 at 07:54:50 AM EST##### Investment Company Act File Number 811-
22538#####https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml ####
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Tell us about the firm or entity about which you have a tip

Last Name:	First Name:	Middle Name:
<input type="text" value="MONK"/>	<input type="text" value="JOSEPH"/>	<input type="text" value="RILEY"/>
Individual CRD Number:	<input type="text" value="1357149"/>	
Street	<input type="text" value="ONE STATE FARM PLAZA"/>	Apt/Suite/Bldg <input type="text"/>
City	<input type="text" value="BLOOMINGTON"/>	
Country	<input type="text" value="United States"/>	
State	<input type="text" value="ILLINOIS"/>	
Postal Code	<input type="text" value="61710"/>	
Country code	<input type="text" value="1"/>	Area code <input type="text" value="800"/>
Phone Number	<input type="text" value="4470740"/>	

Tell us about yourself

All the information will be treated in confidence to the fullest extent possible. However, FINRA cannot guarantee that during the course of a related investigation or possible prosecution of the matter the source of the tip will not become known. Unless you provide an email address or a mailing address or a telephone number, checking 'Yes' will prevent us from following up with you to gather additional details.

Do you wish to make an anonymous tip? *

- No
 Yes - I wish to make an anonymous tip.

Are you submitting this complaint for yourself or on behalf of someone else? *

- Self
 Other

What is your relationship? *

16368-809-301-165 was submitted on Saturday, November 13, 2021 at 07:54:50 AM EST##### Investment Company Act File Number 811-22538#####https://www.sec.gov/Archives/edgar/data/0000093715/000114554922006149/xslFormN-CEN_X01/primary_doc.xml

Your Contact Information

What is the best way to contact you should we have additional questions to better understand your complaint or gather more information?

First name *	<input type="text" value="BARIS"/>	Last name *	<input type="text" value="DINCER"/>
Street Address	<input type="text" value="65 PROSPECT AVENUE"/>		
City	<input type="text" value="HEWLETT"/>		
State	<input type="text" value="NEW YORK"/>		
ZIP Code	<input type="text" value="11557"/>		
Country	<input type="text" value="United States"/>		
Email	<input type="text" value="COCKARENS@VOUCHERSRUS.ORG"/>		
Phone Type *	<input type="text" value="Mobile"/>	Phone Number *	<input type="text" value="6462563609"/>

Please select the age range that corresponds to you: *